# Appendixes

## Appendix A Exercises

Exercise 1: Use the following Field Values Table and Record Reconstruction Table to reconstruct the suppliers file:

Fi€	eld Va 1	alues Tak 2	ole <i>3</i>	4	Red	cord H	Reconstru 2	uction Tak 3	ole 4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5	S1 S2 S3 S4 S5	Adams Blake Clark Jones Smith	10 20 20 30 30	Athens London London Paris Paris	1 2 3 4 5	5 4 2 3 1	4 5 2 1 3	4 2 3 1 5	5 4 1 2 3

The following diagram should serve to remind you how the reconstruction algorithm works (it shows the pointer rings for the record obtained by starting at cell [1,1] in each of the two tables):



The "first" reconstructed record is thus as shown below. You should be able to fill in the rest (begin with cell [2,1] in the Field Values Table, then cell [3,1], then cell [4,1], and finally cell [5,1]—in other words, proceed down column 1).

	1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4 5	S1	Smith	20	London

Your answer should look like Fig. 3.2.

Fil	ile				Pei	rmutat	tion Tabl	Le	
	1	2	3	4	_	1	2	3	4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5	S4 S5 S2 S1 S3	Clark Adams Jones Smith Blake	20 30 10 20 30	London Athens Paris London Paris	1 2 3 4 5	4 3 5 1 2	2 5 1 3 4	3 1 4 2 5	2 1 4 3 5

#### Exercise 2: Use the following suppliers file and corresponding Permutation Table to build a Record Reconstruction Table:

Here's the algorithm:

*Step 1:* Let *PT* be the Permutation Table. Build a table *RRT* with the same number of rows and columns as *PT* and with all cells empty.

Step 2: For all records in the user file, do Step 3.

Step 3: For all columns of PT, do Step 4.

*Step 4:* Let the current record of the user file be the *r*th record, and let the current column of *PT* be the *j*th column. Let cell [i,j] of *PT* be the cell of column *j* that contains the record number *r*. At cell [i,j] of *RRT*, place the value *i'*, where cell [i',j+1] of *PT* is the cell of column *j*+1 that contains the record number *r*. If column *j* is the last column, take column, take column.

After this algorithm has been executed, table *RRT* is the desired Record Reconstruction Table:

	1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4				
5				

Your answer should look like the Record Reconstruction Table shown in Exercise 1.

Fil	le 1	2	3	4	Fi€	eld Va 1	alues Tab <i>2</i>	ole <i>3</i>	4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5	S1 S2 S3 S4 S5	Smith Jones Blake Clark Adams	20 10 30 20 30	London Paris Paris London Athens	1 2 3 4 5				

#### Exercise 3: Use the following suppliers file to build a corresponding Field Values Table:

Your answer should look like the Field Values Table shown in **Exercise 1**. Now construct a corresponding Permutation Table and (using that Permutation Table) a corresponding Record Reconstruction Table:

Peı	cmutat 1	ion Tabl	Le <i>3</i>	4	Red	cord H 1	Reconstru 2	uction Tak <i>3</i>	ole 4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5					1 2 3 4 5				

Does your Record Reconstruction Table look like Fig. 3.5? If not, why not?



Per	mutat 1	ion Tabl 2	le <i>3</i>	4	Inv	verse 1	Permutat 2	tion Table 3	e 4
	s#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5	4 3 5 1 2	2 5 1 3 4	3 1 4 2 5	2 1 4 3 5	1 2 3 4 5				

#### Exercise 4: Use the following Permutation Table to build a corresponding Inverse Permutation Table:

Recall that if you think of any given permutation as a vector *V*, then the inverse permutation *V*' can be obtained in accordance with the rule that if V[i] = i', then V'[i'] = i. Your answer should look like the Inverse Permutation Table shown in **Exercise 5**.

Inv	verse 1	Permutat 2	ion Table <i>3</i>	e 4	Red	cord H 1	Reconstru 2	uction Tak 3	ole 4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5	4 5 2 1 3	3 1 4 5 2	2 4 1 3 5	2 1 4 3 5	1 2 3 4 5				

#### Exercise 5: Use the following Inverse Permutation Table to build a Record Reconstruction Table:

Here's the algorithm:

Go to cell [i, 1] of the Inverse Permutation Table. Let that cell contain the value r; also, let the next cell to the right, cell [*i*,2], contain the value r'. Go to the rth row of the Record Reconstruction Table and place the value *r*′ in cell [*r*,1].

Executing this algorithm for i = 1, 2, ..., 5 yields the entire S# column of the Record Reconstruction Table. The other columns are built analogously. Your answer should look like the Record Reconstruction Table shown in Exercise 1.



#### Exercise 6: Given the following suppliers file—

Fil	le 1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4	S4 S5 S2 S1	Clark Adams Jones Smith	20 30 10 20	London Athens Paris London

-check that the following Field Values Table and Record Reconstruction Table are correct:

Fi€	eld Va 1	alues Tab 2	ole <i>3</i>	4	Red	cord H 1	Reconstru 2	uction Tak <i>3</i>	ole 4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4	S1 S2 S4 S5	Adams Clark Jones Smith	10 20 20 30	Athens London London Paris	1 2 3 4	4 3 2 1	4 2 1 3	4 2 3 1	4 3 1 2

#### Exercise 7: Given the following suppliers file—

Fil	le 1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4 5 6 7	S4 S5 S1 S3 S6 S7	Clark Adams Jones Smith Blake Brady Patel	20 30 10 20 30 30 40	London Athens Paris London Paris Athens Haifa

#### -check that the following Field Values Table and Record Reconstruction Table are correct:

Fie	eld Va	alues Tak	ole		Red	cord H	Reconstru	action Tab	ole
	1	2	3	4	_	1	2	3	4
	S#	SNAME	STATUS	CITY		S#	SNAME	STATUS	CITY
1 2 3 4 5 5 5	S1 S2 S3 S4 S5 S6 S7	Adams Blake Brady Clark Jones Patel Smith	10 20 30 30 30 40	Athens Athens Haifa London London Paris Paris	1 2 3 4 5 5 5	7 5 2 4 1 3 6	4 6 5 3 1 7 2	6 4 5 1 2 7 3	5 6 7 1 4 2 3

**Exercise 8:** Use the following Field Values and Record Reconstruction Tables to reconstruct the shipments file, starting at cell [1,1] of each of the two tables for the first record in that reconstruction and continuing down column 1. Then do the same thing again, but this time going down column 2; and then again, going down column 3; and then again, going down column 4.

Fie	eld Va 1	lues 2	Table 3	4
	S#	P#	J#	QTY
1 2 3 4 5 6 7 8 9	S1 S2 S2 S3 S3 S3 S3 S3	P1 P1 P1 P2 P2 P3 P3 P3 P3	J1 J1 J1 J2 J2 J2 J2 J2 J2 J2	100 100 200 200 200 200 500 500 500

Record	Reconst	tructio	n	Table
1	2	.3	4	

		-	-	
	S#	P#	J#	QTY
1 2 3 4 5 6 7	2 8 3 4 5 1 6	1 2 3 7 8 9 4	2 3 4 5 1 6 7	2 6 1 3 8 9 4
5 9	9	5 6	8 9	5 7

Your answers should be as shown in Fig. 6.5.



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#### **Exercise 9:** Use the following Inverse Permutation Table to build a "preferred" Record Reconstruction Table for shipments:

Inverse Permutation Table  $1 \quad 2 \quad 3 \quad 4$ 

Record Reconstruction Table

J#

QTY

P#

	1	2	0	7		
	S#	P#	J#	QTY		S#
1 2 3 4 5 6 7 8 9	1 2 3 4 5 6 7 8 9	2 8 3 4 5 1 6 7 9	253781946	3 1 4 7 8 2 9 5 6	1 2 3 4 5 6 7 8 9	

Your answer should look like Fig. 6.4.

Exercise 10: Given the following shipments file, show a Permutation Table corresponding to the following sort orders:

- For column S# : S# P# J#
- For column P# : P# J# S#
- For column J# : J# S# P#
- For column QTY : QTY S# P# J#

Fil	.e 1	2	3	4	Per	mutat 1	ion 1 2	able' 4	5	
	S#	P#	J#	QTY		S#	P#	J#	QTY	
1 2 3 4 5 6 7 8 9	S1 S2 S2 S3 S3 S3 S3	P1 P3 P1 P2 P1 P2 P3 P3	J1 J2 J1 J2 J2 J1 J2 J1 J2 J1 J2	200 100 200 500 100 500 200 200	1 2 3 4 5 6 7 8 9					

Use this Permutation Table to build a corresponding Inverse Permutation Table and a corresponding Record Reconstruction Table:



Your Record Reconstruction Table should look like Fig. 6.6. Check that this Record Reconstruction Table does exhibit the desired behavior regarding major-to-minor orderings.

Exercise 11: Use the following Field Values Table and Record Reconstruction Table to reconstruct the parts file. Start with column 5 in order to obtain the result in ascending city name sequence.

Fie	eld Va 1	lues Table 2	3	4	5
	P#	PNAME	COLOR	WEIGHT	CITY
1 2 3 4 5 6	P1 P2 P3 P4 P5 P6	Bolt [1:1] Cam [2:2] Cog [3:3] Nut [4:4] Screw [5:6]	Blue [1:2] Green [3:3] Red [4:6]	12.0 [1:2] 14.0 [3:3] 17.0 [4:5] 19.0 [6:6]	London [1:3] Oslo [4:4] Paris [5:6]

Λ

Record Reconstruction Table 1 2 3

1.000	1	2	3	4	5
	P#	PNAME	COLOR	WEIGHT	CITY
1 2 3 4 5 6	4 1 5 6 2 3	3 1 6 4 2 5	2 4 5 1 3 6	1 6 2 4 5 3	1 4 3 2 5

To remind you, here's the crucial revision to the reconstruction algorithm:

Consider cell [i,j] of the Record Reconstruction Table. Instead of going to cell [i,j] of the Field Values Table, go to cell [i',j] of that table, where cell [i',j] is that unique cell within column j of that table that contains a row range that includes row *i*.

Your answer should look like Fig. 7.2, except that the records should appear in ascending city name sequence.

**Exercise 12:** Use the following Field Values Table and Record Reconstruction Table to reconstruct the parts file. Start with column *5* in order to obtain the result in ascending city name sequence.

Fi∈	eld Va 1	lues Tab	ole 2	3		4		5
	P#	PNAME	С	OLOR	WEIGH	ΗT	CITY	
1 2 3 4 5 6	P1 P2 P3 P4 P5 P6	Bolt Cam Cog Nut Screw	[1:1] B [2:2] G [3:3] R [4:4] [5:6]	lue [1:2] reen [3:3] ed [4:6]	12 14 17 19	.0 [1:2] .0 [3:3] .0 [4:5] .0 [6:6]	London Oslo Paris	[1:3] [4:4] [5:6]
Rec	cord F 1	Reconstru 2	iction T 3	able 4	5			
	P#	PNAME	COLOR	WEIGHT	CITY			
1 2 3 4 5 6	4 1 5 6 2 3	1 • 3 2 • 1 3 • 6 4 • 4 5 • 2 5 • 5	1 • 2 1 • 4 2 • 5 3 • 1 3 • 3 3 • 6	1 • 1 1 • 6 2 • 2 3 • 4 3 • 5 4 • 3	1•1 1•4 1•6 2•3 3•2 3•5			

To remind you, in those columns of the Record Reconstruction Table that include two row numbers instead of one, the first is the number of the desired row within the Field Values Table, and the second is the number of the next row to be inspected within the Record Reconstruction Table. As in **Exercise 11**, your answer should look like Fig. 7.2, except that the records should appear in ascending city name sequence.



Exercise 13: Given the following bill-of-materials file—

Fi	le <i>1</i>	2	3
	MAJOR_P#	MINOR_P#	QTY
1 2 3 4 5 6 7 8 9	P3 P1 P2 P1 P2 P3 P1 P5 P2	P4 P3 P4 P5 P6 P2 P6 P3	3 4 8 1 6 4 2 3 3

-check that the following Field Values Table and Record Reconstruction Table are correct:

Fi€	eld Values 1 1	Table 2	3	Red	cord Reconst 1	ruction Tak 2	ole <i>3</i>
	MAJOR_P#	MINOR_P#	QTY		MAJOR_P#	MINOR_P#	QTY
1 2 3 4 5 6 7 8 9	P1 P1 P2 P2 P2 P3 P3 P5	P2 P3 P4 P4 P4 P5 P6 P6	1 2 3 3 4 4 6 8	1 2 3 4 5 6 7 8 9	1 2 4 3 5 7 6 8 9	263194875	3 1 4 7 9 2 8 6 5

*Note:* The Record Reconstruction Table is intended to reflect the following sort orders:

•	For column MAJOR_P#:	MAJOR_P# - MINOR_P# - QT
•	For column MINOR_P#:	MINOR_P# - MAJOR_P# - QT
•	For column QTY:	QTY - MAJOR_P# - MINOR_P

Exercise 14: Given the Field Values Table and Record Reconstruction Table from Exercise 13, check that the following condensed and expanded versions (respectively) are correct.

	1	2	3
	MAJOR_P#	MINOR_P#	QTY
1 2 3 4 5 6	P1 [1:3] P2 [4:6] P3 [7:8] P5 [9:9]	P2 [1:1] P3 [2:3] P4 [4:6] P5 [7:7] P6 [8:9]	1 [1:1] 2 [2:2] 3 [3:5] 4 [6:7] 6 [8:8] 8 [9:9]

Record Reconstruction Table

	1	2	3
	MAJOR_P#	MINOR_P#	QTY
1 2 3 4 5 6 7 8 9	1 • 1 1 • 2 1 • 4 2 • 3 2 • 5 2 • 7 3 • 6 3 • 8 4 • 9	1 • 2 2 • 6 2 • 3 3 • 1 3 • 9 3 • 4 4 • 8 5 • 7 5 • 5	1 3 2 1 3 4 3 7 3 9 4 2 4 8 5 6 6 5

**Exercise 15:** Use the following Field Values Table and Record Reconstruction Table to reconstruct the bill-of-materials file. Start with column *1* in order to obtain the result in sequence by minor part number within major part number.

Fi€	eld Values Table <i>1</i>	2	Red	cord Reconst 1	truction Tak 2	ole 3
	MAJOR_P# + MINOR_P#	QTY		MAJOR_P#	MINOR_P#	QTY
1 2 3 4 3 4	P1 [1:3] [ : ] P2 [4:6] [1:1] P3 [7:8] [2:3] P4 [ : ] [4:6] P5 [9:9] [7:7] P6 [ : ] [8:9]	1 [1:1] 2 [2:2] 3 [3:5] 4 [6:7] 6 [8:8] 8 [9:9]	1 2 3 4 5 6 7 8 9	1 • 1 1 • 2 1 • 4 2 • 3 2 • 5 2 • 7 3 • 6 3 • 8 5 • 9	2 2 3 6 3 3 4 1 4 9 4 4 5 8 6 7 6 5	1 3 2 1 3 4 3 7 3 9 4 2 4 8 5 6 6 5

Your answer should be a file that's a direct image of relation MMQ as shown in Fig. 8.1.



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Exercise 16: Given the following suppliers file-

Fil	le 1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4 5	S1 S2 S3 S4 S5	Smith Jones Blake Clark Adams	20 10 30 20 30	London Paris Paris London Athens

-check that the following Field Values Table and Record Reconstruction Table are correct:

Field Values Table  $\frac{1}{2}$ 

	1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4	S1 S2 S3 S4	Adams [1:1] Blake [2:2] Clark [3:3] Jones [4:4]	10 [1:1] 20 [2:3] 30 [4:5]	Athens [1:1] London [2:3] Paris [4:5]
5	S5	Smith [5:5]		

Record Reconstruction Table 1 2 3

1	1	2	3	4
	S#	SNAME	STATUS	CITY
1 2 3 4 5	5 4 2 3 1	1•5 2•4 3•3 4•1 5•2	1•4 2•2 2•3 3•5 3•1	1•5 2•1 2•4 3•2 3•3

Likewise, given the following shipments file-

Fi.	le 1	2	3	4
	S#	P#	J#	QTY
1 2 3 4 5 6 7 8 9	S1 S2 S2 S3 S3 S3 S3 S3	P1 P3 P1 P2 P1 P2 P3 P3	J1 J2 J1 J2 J2 J1 J2 J1 J2 J1 J2	200 100 200 500 100 500 200 200

#### -check that the following Field Values Table and Record Reconstruction Table are correct:

Fi€	eld Values 1	Table 2	3	4
	S#	P#	J#	QTY
1 2 3	S1 [1:2] S2 [3:5] S3 [6:9]	P1 [1:4] P2 [5:6] P3 [7:9]	J1 [1:4] J2 [5:9]	100 [1:2] 200 [3:6] 500 [7:9]

#### Record Reconstruction Table

S# P# J# QTY   1 1.2 1.1 1.2 1.2   2 1.8 1.2 1.3 1.6   3 2.3 1.3 1.4 2.1   4 2.4 1.7 1.5 2.3   5 2.5 2.8 2.1 2.8   6 3.1 2.9 2.6 2.9   7 3.6 3.4 2.7 3.4   8 3.7 3.5 2.8 3.5   2.3 2.5 2.8 3.5 3.5		1	2	3	4
$\begin{array}{cccccccccccccccccccccccccccccccccccc$		S#	P#	J#	QTY
9 3 9 3 0 2 9 3 1	1 2 3 4 5 6 7 8 9	1 2 1 8 2 3 2 4 2 5 3 1 3 6 3 7 3 9	1 • 1 1 • 2 1 • 3 1 • 7 2 • 8 2 • 9 3 • 4 3 • 5 3 • 6	1 • 2 1 • 3 1 • 4 1 • 5 2 • 1 2 • 6 2 • 7 2 • 8 2 • 9	1 2 1 6 2 1 2 3 2 8 2 9 3 4 3 5 3 7

Finally, check that the following merged Field Values Table is correct:

```
Field Values Table
```

	1	2	3	4	5	6	7
	S#	SNAME	STATUS	CITY	P#	J#	QTY
1 2 3 4 5	S1[1:2] S2[3:5] S3[6:9] S4[:] S5[:]	Adams[1:1] Blake[2:2] Clark[3:3] Jones[4:4] Smith[5:5]	10[1:1] 20[2:3] 30[4:5]	Athens[1:1] London[2:3] Paris [4:5]	P1[1:4] P2[5:6] P3[7:9]	J1[1:4] J2[5:9]	100[1:2] 200[3:6] 500[7:9]

# Appendix B References and Bibliography

What follows is a consolidated list of references for the entire book. Let me immediately apologize for the embarrassingly large number of references to publications for which I'm the author or a coauthor; such a state of affairs is more or less unavoidable, however, given the nature of this book and the history of my own involvement in this field.

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